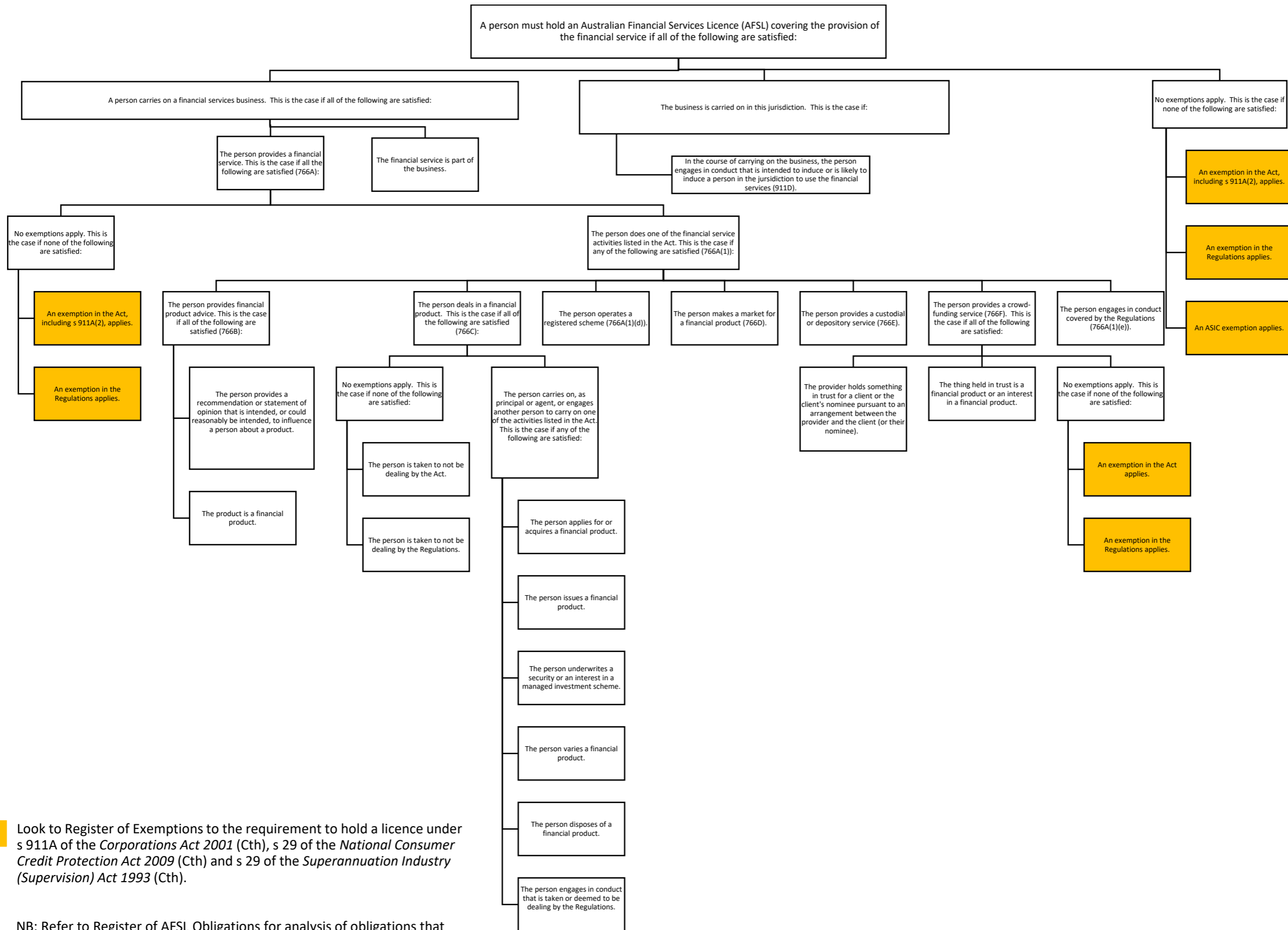


Obligation to hold an Australian Financial Services Licence (AFSL) pursuant to s 911A of the *Corporations Act 2001* (Cth)



Look to Register of Exemptions to the requirement to hold a licence under s 911A of the *Corporations Act 2001* (Cth), s 29 of the *National Consumer Credit Protection Act 2009* (Cth) and s 29 of the *Superannuation Industry (Supervision) Act 1993* (Cth).

NB: Refer to Register of AFSL Obligations for analysis of obligations that apply where a person is the holder of an AFSL.